

EDITORIAL COMMENT

“Recovering” the Recognition for VO₂ Kinetics During Exercise Recovery in Heart Failure



A Good Practice in Need of More Exercise*

Marco Guazzi, MD, PhD

For many years, the advantage of using exercise gas exchange analysis by cardiopulmonary exercise testing (CPET) in heart failure (HF) has been confined to the measure of peak oxygen uptake (VO₂) as a reference indicator of exercise performance with remarkable diagnostic and prognostic value (1). Clinicians have, thus, relied on these undisputed strengths without caring as much, except for isolated cases (2,3), about what physiologists have been practicing for a long time, that is, to examine the entire exercise VO₂ kinetics to better understand the mechanisms limiting functional capacity focusing on their implications rather than catalyzing the interest on a single variable (4).

The ideal approach for studying the kinetics of exercise VO₂ is based on the use of submaximal test protocols at constant workloads of different, prespecified intensities to dissect the dynamic phases of O₂ delivery and use from external to cellular respiration. Briefly, at the beginning of the imposed load, the increase in VO₂ is due to enhanced circulatory time and pulmonary blood flow (phase I or cardiodynamic), followed by a slower and monoexponential increase (phase II) that reflects O₂ muscular extraction, and then by a subsequent plateau in oxygen uptake (phase III or steady state), if

exercise level is maintained below the anaerobic threshold. The lag in VO₂ seen from phase I to the steady state is termed O₂ credit and the recovery delay from steady state to baseline represents the debt for repaying O₂. Calculation of VO₂ kinetics in the early and recovery phases is performed by measuring the VO₂ time constant (τ) by fitting the curve through a monoexponential equation, or the T_{1/2}, the time for VO₂ to decrease to the 50% of the peak value adjusted for VO₂ at rest.

Whereas examination of these variables may yield to a bulk of relevant clinical information, because, for the most part, daily activities are submaximal in nature, this approach has never become standard practice in clinics due to the requirement of post-processing mathematical elaborations, rendering the calculation of these metrics potentially impractical and time consuming. Some authors have proposed to limit the analysis at the recovery phase after a maximal exercise test to partially overcome these drawbacks (2,3). This measure is relevant in terms of pathophysiological insights, given that the recovery VO₂ kinetics correlates with the recovery of energy stores in active muscles, reflecting the rate of phosphocreatine's supply and the extent of blood and tissue O₂ stores after exercise (5). Indeed, landmark physiological studies performed in the gastrocnemius muscle of the dog have documented a close relationship between the time required for the resynthesis of high-energy phosphates and VO₂ kinetics after exercise (6).

Overall, given the relevance of these physiological aspects, whatever approach is used, a phenotype of prolonged kinetics in VO₂, although not specific, is highly sensitive to HF-mediated impairment in O₂

*Editorials published in *JACC: Heart Failure* reflect the views of the authors and do not necessarily represent the views of *JACC: Heart Failure* or the American College of Cardiology.

From the Cardiology University Department, Heart Failure Unit, University of Milan, IRCCS Policlinico San Donato, San Donato Milanese, Milan, Italy. Dr. Guazzi is supported by the Monzino Foundation Grant, Milano, Italy.

delivery (cardiac output) and diffusion (O₂ transit from capillaries to mitochondria) and becomes a target for our interventions (1).

SEE PAGE 329

In this issue of *JACC: Heart Failure*, Bailey et al. (7) provide a reappraisal of the clinical implications of measuring VO₂ kinetics in the recovery phase of a maximal CPET, by introducing a new parameter, the VO₂ delay recovery (VO₂DR), defined as the time from the end of exercise until VO₂ permanently decreased below peak. They studied 30 patients with HF with preserved ejection fraction (HFpEF), 20 with HF with reduced ejection fraction (HFrEF), and 22 controls with invasive CPET. A group of 106 patients with HFrEF undergoing noninvasive CPET was additionally studied to test the prognostic validity of the new indicator. The VO₂DR was significantly prolonged in either HFrEF (28 s) and HFpEF (25 s) compared with a median value of 5 s in controls. A cutoff of 25 s predicted transplant-free survival after adjustments for other landmark CPET-derived prognostic variables, peak VO₂% predicted, oxygen uptake efficiency slope, minute ventilation carbon dioxide production relationship slope heart rate recovery at 2 min. A correlation of VO₂DR was found with the rate of increase in VO₂ over the work rate and exercise changes in cardiac output and not with augmentation in C(a-v)O₂. Of note, patients with a VO₂DR of >25 s exhibited severe ventilation inefficiency with impressively high slopes in the minute ventilation carbon dioxide production relationship.

The strength of this paper is the ease of methodology, the potential to improve the iteration process of patients' phenotyping (especially for HFpEF), and the accuracy in predicting the outcome. Remarkably, observations confirm, under a different approach, that we are going to expand our knowledge of hemodynamic contributory factors of exercise limitation in HFpEF patients. This study is the first to document an impaired VO₂ kinetic recovery in this category, supporting the mounting evidence for a mixed contribution to exercise limitation of impaired cardiac reserve combined with a delayed O₂ diffusion and quite preserved O₂ extraction (8).

Along with these merits, the methodology should have been strengthened by testing the reproducibility of the VO₂DR, and criteria for calculating

the VO₂DR in the presence of a blunted VO₂ increase due to a flattening or downsloping pattern have yet to be defined. In addition, descriptive and prognostic subanalyses on VO₂DR in the presence of oscillatory ventilation and postexercise VO₂ overshooting would have been useful to understand how this approach may really apply to the entire spectrum of gas exchange phenotypes. Although this is the first study that combines the assessment of systemic and pulmonary hemodynamics with VO₂ recovery kinetics, some information is missing. It is actually tempting to speculate that patients with a VO₂DR of >25 s developed some degree of exercise-induced mitral regurgitation as an additional hemodynamic mechanism in limiting cardiac output delivery not only during exercise, but also during recovery.

It is also noteworthy that in both HFpEF and HFrEF a VO₂DR of >25 s did not result in any differences in pulmonary hemodynamics as far as average pulmonary capillary wedge pressure and mean pulmonary arterial pressure values are concerned. However, a set of additional basic measurements, such as pulmonary arterial compliance, pulmonary vascular resistance, and a calculation of the diastolic pressure gradient would have clarified the likely key role of a vascular precapillary component in a delayed recovery phase.

In conclusion, although peak VO₂ will continue to be an endurance indicator of disease severity and clinical outcome, a simplified analysis of VO₂ kinetics during the recovery phase from a maximal exercise test seems to be an appealing step forward for looking, in a more confident way, at the complex pathways implicated in the impaired VO₂ kinetics, helping to improve sensitivity in risk definition and clinical decision-making in HF syndrome. Due to the relevance that exercise limitation has in the context of HF stages, "recovering" exercise physiology into daily clinical activities seems to be a good practice in need of more exercise.

ADDRESS FOR CORRESPONDENCE: Dr. Marco Guazzi, Department of Biomedical Sciences for Health, Heart Failure Unit-Cardiology, IRCCS Policlinico San Donato, University of Milan, Piazza E. Malan 2, 20097, San Donato Milanese, Milan, Italy. E-mail: marco.guazzi@unimi.it.

REFERENCES

1. Guazzi M, Bandera F, Ozemek C, Systrom D, Arena R. Cardiopulmonary exercise testing: What Is its Value? *J Am Coll Cardiol* 2017;70:1618-36.
2. Cohen-Solal A, Laperche T, Morvan D, Geneves M, Caviezel B, Gourgon R. Prolonged kinetics of recovery of oxygen consumption after maximal graded exercise in patients with chronic heart failure. Analysis with gas exchange measurements and NMR spectroscopy. *Circulation* 1995;91:2924-32.
3. Nanas S, Nanas J, Kassiotis C, et al. Respiratory muscles performance is related to oxygen kinetics during maximal exercise and early recovery in patients with congestive heart failure. *Circulation* 1999;100:503-8.
4. Wasserman K. Coupling of external to cellular respiration during exercise: the wisdom of the body revisited. *Am J Physiol* 1994;266:E519-39.
5. Harris RC, Edwards RH, Hultman E, Nordesjo LO, Ny Lind B, Sahlin K. The time course of phosphorylcreatine resynthesis during recovery of the quadriceps muscle in man. *Pflugers Arch* 1976;367:137-42.
6. Piiper J, Spiller P. Repayment of O₂ debt and resynthesis of high-energy phosphates in gastrocnemius muscle of the dog. *J Appl Physiol* 1970;28:657-62.
7. Bailey CS, Wooster LT, Buswell M, et al. Post exercise oxygen uptake recovery delay: a novel index of impaired cardiac reserve capacity in heart failure. *J Am Coll Cardiol HF* 2018;6:329-39.
8. Dhakal BP, Malhotra R, Murphy RM, et al. Mechanisms of exercise intolerance in heart failure with preserved ejection fraction: the role of abnormal peripheral oxygen extraction. *Circ Heart Fail* 2015;8:286-94.

KEY WORDS cardiopulmonary exercise testing, exercise hemodynamics, heart failure, VO₂ recovery kinetics